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Date of Deposit: October 19, 2001

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Jessica Pallach

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Mueller et al.

Serial No. 09/786,294

Filed: 2/23/01

For: Method and Apparatus for Determining  
Characteristics of a Sample Liquid Including a  
Plurality of Substances

Examiner: Unassigned

Art Unit: Unassigned

October 19, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents  
and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed 11 September 2001, enclosed herewith are the following:

- original, executed Declaration and Power of Attorney,
- Assignment and Recordation Cover Sheet;
- Information Disclosure Statement, 1449 Form, and cited references;
- Copy of Missing Parts Notice; and
- Return Postcard.

The Commissioner is authorized to charge the surcharge and recordation fees totaling \$170.00, and any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0050). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Kirk D. Wong  
Reg. No. 43,284

Customer No. 22862

Attorney Docket No. SCHO0050

09/786294

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/786,294

MUELLER

INTERNATIONAL APPLICATION NO. 00050

022862

5611

GLENN PATENT GROUP  
3475 EDISON WAYSUITE L  
MENLO PARK CA 94025

I.A. FILING DATE

PCT/EE99/05675  
PRIORITY DATE02/24/00  
DATE MAILED:

02/24/00

09/11/01

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.
  - ☒ Copy of the international application. ☐ Translation of the international application into English.
  - ☐ Oath or Declaration of inventors(s). ☐ Translation of Article 19 amendments into English.
  - ☐ Copy of Article 19 amendments. ☐ Other:
  - ☒ Priority Document.
  - ☐ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee. ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fees, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875 ☐ PCT/DO/EO/920

FORM PCT/DO/EO/905 (March 2001)

Telephone:

Kaya B. S. S. S.

National Stage Process  
(733) 305-3095



A DOCPHOENIX

PCT DOCUMENT INDEX SHEET

☐ TRNA \_\_\_\_\_  
Transmittal New Application  
☐ SPEC \_\_\_\_\_  
Specification  
☐ CLM \_\_\_\_\_  
Claims  
☐ ABST \_\_\_\_\_  
Abstract  
☐ DRW \_\_\_\_\_  
Drawings  
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Oath or Declaration

☐ A... \_\_\_\_\_  
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☐ ADS \_\_\_\_\_  
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☐ AF/D \_\_\_\_\_  
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☐ PET. \_\_\_\_\_  
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☐ IDS \_\_\_\_\_  
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☐ NPL \_\_\_\_\_  
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☐ C.AD \_\_\_\_\_  
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☐ PA.. \_\_\_\_\_  
Power of Attorney [if not filing date]

☐ BIB \_\_\_\_\_  
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☐ WCLM \_\_\_\_\_  
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☐ WFEE \_\_\_\_\_  
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Examiner Search Notes

☐ LET. \_\_\_\_\_  
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Miscellaneous Internal Document  
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☐ ARTIFACT \_\_\_\_\_  
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☐ COMPUTER \_\_\_\_\_  
Computer Program Listing  
☐ RETMAIL \_\_\_\_\_  
Mail Returned by USPS  
☐ SPEC NO \_\_\_\_\_  
Specification Not in English  
☐ XT/ \_\_\_\_\_  
Extension of Time [if not filing date]

**PCT DOCUMENTS**

☐ M903 \_\_\_\_\_  
DO/EO Acceptance  
☐ M905 \_\_\_\_\_  
DO/EO Missing Requirement  
☐ 371P \_\_\_\_\_  
PCT Papers in a 371 Application

☐ DUPLEX

☐ END JOB

DOCUMENT DATE 10-19-01